

# Outgoing Third Party Account Transfer Certification

To: Shareholders Service Group, Inc.

RE: Request to transfer account to: \_\_\_\_\_

I have requested that you transfer part or all of the assets in my account to the above named company. I have read and understand the prospectus, annuity contract, subscription form, transfer form or other offering statements and disclosures related to this transfer. I acknowledge that the decision to make this transfer is based on my own review, and that Shareholders Service Group (SSG) is not acting as my offeree or representative, nor does SSG make any representation, expressed or implied, concerning the merits or risks involved. I acknowledge and agree that SSG has no responsibility for providing me with information about fees, commissions or sales charges related to the liquidation or subsequent investment of these assets. I am solely responsible for recovering any funds from the firm receiving this transfer.

I understand that there may be liquidation fees, redemption fees, and/ or termination fees if I have elected to liquidate or transfer my assets and that there will be a **\$95** processing fee charged to my account for this transfer. I understand that this transfer may be a taxable event, and I accept responsibility for any tax implications. I have not consulted with Shareholders Service Group, Inc. ("SSG"), or any of its officers, agents or employees regarding this transfer. Any purchase or liquidation of investments was not solicited or recommended by SSG. I acknowledge and agree that SSG's acceptance or execution of this document and its act of processing this transfer does not imply its approval or endorsement and that SSG bears no responsibility for the transfer of my assets or any subsequent purchases of investments with these assets.

I hereby indemnify and hold harmless SSG and any person controlling or under common control with it from and against any cost, liability, or expense arising out of or connected with following my instructions with this transfer or subsequent investment. I hereby fully and forever agree to release and discharge SSG, its officers, employees, subsidiaries, affiliates, and agents, and indemnify and hold harmless each of them from any and all liability or responsibility for any and all claims, losses, costs, charges, fees, expenses, penalties, damages, attorney's fees or other cost whatsoever in any way arising from or as a direct or indirect result of the request for transfer of assets from my account.

\_\_\_\_\_  
SSG Account Number

\_\_\_\_\_  
Print Client Name

\_\_\_\_\_  
Print Joint Client Name

\_\_\_\_\_  
Client Signature

\_\_\_\_\_  
Joint Client Signature

\_\_\_\_\_  
Date